



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Sano et al.
Examiner: Unknown
Serial No.: 09/975,396
Group Art Unit: 2872
Filed: October 10, 2001
Docket: 10873.822US01
Due Date: January 10, 2002
Title: OPTICAL HEAD APPARATUS, OPTICAL INFORMATION RECORDING AND

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, Washington, D.C. 20231, on January 9, 2002.

By: Hicham Bichara
Hicham Bichara

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 4 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Douglas P. Mueller
Name: Douglas P. Mueller
Reg. No.: 30,300
D. Mueller:hb





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Technology Center 2600

S/N 09/975,396

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Sano et al.	Examiner:	Unknown
Serial No.:	09/975,396	Group Art Unit:	2872
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Title:	OPTICAL HEAD APPARATUS, OPTICAL INFORMATION RECORDING AND		

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By:

Hicham Bichara

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Applicants bring to the attention of the Examiner that the patent WO 99/18466 is an English equivalent of JP 2001-507463.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

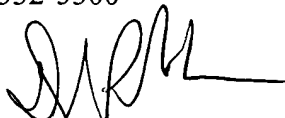
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

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P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: January 9, 2002

By



Douglas P. Mueller
Reg. No. 30,300

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